

Chaminade University IRB

Form VI

IRB Conflict of Interest Disclosure Form

1. General Information

The purpose of this form is to disclose any potential Conflicts of Interest (COI) when you submit a project for approval by the Chaminade University IRB. Conflicts of Interest arise when an investigator or other project personnel has a financial or other reward-based interest in the outcome or conduct of the study. Subject participants should be protected from investigator Conflicts of Interest. If such a COI exists, it will not necessarily lead to disapproval of a study, but a management plan is required to mitigate it.

1. PI Name _____	2. Protocol Title: _____
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2. Financial Conflict of Interest Information

1. Please disclose the name and role/relationship of the Investigator/Sub-Investigator/Coordinator/or other Research Staff reporting his/her potential conflict:

Name: _____ Role/Relationship*: _____

*This form must be completed and submitted by anyone involved in the design, conduct or reporting of research, including interests held by spouses and dependent children of such person(s).

2. Please name the sponsor of the study (grant agency, company, other)

*receiving research support in the form of a grant from a non-commercial agency such as a Federal agency (NIH, NSF, DOE) does not constitute a conflict of interest. The COI form is designed to disclose relationships with commercial entities or individuals which may lead to a financial interest in the study's outcomes. Therefore these relationships should be disclosed here, or enter 'none'.

3. Please specify the nature of the financial interest you or the reporter has in the study (check all that apply):

- | | | |
|--|---|---|
| <input type="checkbox"/> honoraria | <input type="checkbox"/> travel reimbursement | <input type="checkbox"/> lectures engagements |
| <input type="checkbox"/> paid consulting | <input type="checkbox"/> employment by the sponsor | <input type="checkbox"/> proprietary interest* |
| <input type="checkbox"/> paid authorship | <input type="checkbox"/> in-kind compensation (equipment, etc.) | <input type="checkbox"/> stock or stock options** |
| <input type="checkbox"/> other grants for research | <input type="checkbox"/> advisory panel member | <input type="checkbox"/> other: _____ |
| <input type="checkbox"/> Not applicable – no COI to report | | |

*e.g., patent, trademark, copyright, licensing agreement, royalty payment or compensation tied to sales of the product
 **excludes income from investment vehicles (e.g., mutual funds/retirement accounts) as long as reporting individuals does not directly control the investment decisions made in these vehicles. Percentage salary effort charged on a grant does not need to be reported. COI reporting is for financial or other forms of gain outside institutionally-paid salary support.

4. Please specify the value of the financial interest, excluding employee salary (check one indicating total):

- | | | |
|---|--|---|
| <input type="checkbox"/> \$5K – 9,999 | <input type="checkbox"/> \$10K – 19,999 | <input type="checkbox"/> \$20K – 39,999 |
| <input type="checkbox"/> \$40K – 59,999 | <input type="checkbox"/> \$60K – 79,999 | <input type="checkbox"/> \$80K – 99,999 |
| <input type="checkbox"/> ≥\$100,000 (specify amount): _____ | <input type="checkbox"/> \$value cannot be determined, please explain: _____ | |
| <input type="checkbox"/> Not applicable – no COI to report | | |

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3. IRB Conflict of Interest Management Plan

Please describe your plan for reducing or eliminating the potential conflict (check all that apply):

- Disclosure in the Informed Consent, if applicable (language will be provided by Chaminade)
- A non-conflicted member of the study staff will obtain the informed consent
- Stock or stock options will not be bought during the conduct of the research
- Owned stock or stock options will not be sold, transferred or redeemed during the conduct of the research
- The site has specified study roles/tasks to be delegated to a non-conflicted member of the study staff
- Additional Financial Conflict of Interest training (CITI or equivalent) will be completed by the investigator and study staff prior to engaging in the research. Provide details of the training: _____
- The sale or disposal of specified financial interests or severance of relationships giving rise to a conflict will occur prior to engaging in the research
- Other: _____
- Not applicable – no COI to report

NOTE: Chaminade may recommend other conditions if such conditions will eliminate, reduce, or manage the conflict of interest

I certify that the responses to the questions above are accurate and complete and that my responses constitute a full disclosure of any conflicting interests and activities that may affect or appear to affect the integrity of the research or the rights, safety and welfare of human subjects. I certify that my financial interest will not have any impact on my conduct of this research study and that I will promptly disclose to Chaminade any significant new information which would cause the answers to the above questions to change during the course of the study.

**Signature of Individual Reporting His / Her Potential Conflict
(mm/dd/yyyy)**

Signature Date